



# UTT ASSET MANAGEMENT AND INVESTOR SERVICES PLC (UTT AMIS) LIQUID FUND UNIT TRUST SCHEME



(An Open Ended Growth Scheme)

<b>PART - A</b>		<b>APPLICATION FORM</b>			
(Please read instructions at Appendix 1 before filling up this form)					
(1) Agent Code:	<b>002</b>	<div style="border: 2px solid blue; padding: 2px;"> <b>SOLOMON STOCKBROKERS LTD.</b>  <small>(2) Agent Name:</small>                      PPP House, Ground Floor,                      Morogoro Road, Samora Avenue,                      P. O. Box 77049, Dar-es-Salaam  <small>(5) Stamp with Signature of Collecting Agent:</small>                      TEL: (+255 22) 2124495, FAX: 2131989                      Mob: (+255) 0714 269090 / 0764 269090                      Email: solemen@simbanet.net                 </div>	(3) Appln. Sr. No:		
(4) Agent Batch Header No:		(6) UTT Group Inward No:			
(7.1) Individual Investors (pls ✓ the relevant category)	<input type="checkbox"/> Resident Citizen of Tanzania		<input type="checkbox"/> Non Resident Citizen of Tanzania		
	<input type="checkbox"/> Male		<input type="checkbox"/> Female		
(7.2) Non-Individual Investors (pls ✓ the relevant category)	<input type="checkbox"/> Pension Fund	<input type="checkbox"/> Bank	<input type="checkbox"/> Co-operative Society	<input type="checkbox"/> Others (Corporate Body, NGO etc)	
(8) Applicant's Occupation (pls ✓ the relevant)	<input type="checkbox"/> Agriculture	<input type="checkbox"/> Employed	<input type="checkbox"/> Business	<input type="checkbox"/> Retired	<input type="checkbox"/> Others
(9) Holding Basis (pls ✓ the relevant)	<input type="checkbox"/> Singly		<input type="checkbox"/> Jointly		
(10) Total Application Amount (Tzs)	in Figures:				
	in words:				
	Payment Type:		<input type="checkbox"/> Cash	<input type="checkbox"/> Cheque	
	Bankers Cheque No. (if Cheque)				
(11) Name of the First Applicant: Individual / Non-Individual	Surname:				
	First Name:				
	Middle Name:				
	Corporate Name: (for non-individual applicants)				
(12) Name of the Second Applicant (incase of Joint Holding)	Surname:				
	First Name:				
	Middle Name:				
(13) First Applicant's Date of Birth (Mandatory if minor)	Date	Month	Year		
(14) Name of the Parent / Legal Guardian (where 1st applicant is a minor)	Surname:				
	First Name:				
	Middle Name:				

<b>(15) Mailing Address</b>	P.O. Box No.		
	P.O. Location (Town)		
	District		
	Religion		
	Phone No.		
	E-mail Address		
<b>(16) 1st Applicants' personal Identification Details (it is mandatory to furnish atleast one Identification Number)</b>	Election Card No.		
	Passport No.		
	Driving Licence No.		
	Employer ID No.		
	Pension Fund ID No.		
	Tax ID No. (TIN)		
	National ID Card No.		
	Vehicle Reg. No.		
<b>(17) Bank A/c Particulars of the First Applicant (Mandatory)</b>	Bank Name		
	Branch Name / Location		
	Account No.		
	Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Savings <input type="checkbox"/> Savings	
<b>(18) Details of the Nominee</b>	Surname:		
	First Name:		
	Middle Name:		
	Note: If Nominee is a Minor, please furnish the below appended details		
	Nominee's Date of Birth - (DD/MM/YYYY)		
	Surname of parent/guardian/sponsor		
	First name of parent/guardian/sponsor		
	Middle name of parent/guardian/sponsor		
<b>(19) Details to be furnished by Non Resident Applicants (NRT's)</b>	<b>MONEY TRANSFER DETAILS</b>		
	Money Transfer No.		
	Bank Soft / SWIFT Code.		
	Remittance Date		
	<b>FOREIGN ADDRESS DETAILS</b>		
	House/Office/Building/Street No/ Name		
	Town / City / State Name		
	Country of Residence		
	Postal Code (if any)		
	<b>(20) Signature / Thumb Impression of the Applicant/s with Date</b>	Signature / Thumb Impression of the Applicant/s with Date	Signature / Thumb Impression of the Applicant/s with Date



# APPENDIX - 1

## PART - I: GENERAL INSTRUCTIONS:

1. Please refer to particulars of the offer as set out in the Offer Document. Applicants should consult (if they desire so) their stock brokers, bank managers, lawyers, accountants, insurance or investment adviser, while completing the application form.
2. The Scheme is open for Initial Sale of Units from 1st March, 2013 till 30th March, 2013.
3. Fill in the particulars in boxes with BLOCK LETTERS. Use one box for an alphabet. Applications shall be made on original application form only – photocopies, faxes or other reproductions will be rejected.
4. During initial sale period, the units can be acquired through any office / branch of the following authorized Collection Agents / UTT-Investor Service Centres.
  - **Collecting (Receiving Agents):** CRDB Bank Plc (all branches), and DSE Brokers – Tanzania Securities, Solomon Stockbrokers, Rasilimali Ltd, Orbit Securities, Vertex International Securities Ltd, Core Securities Limited and ZAN Securities.
  - **UTT-Offices:** Investors can also avail the services of UTT-HQ at Dar es Salaam and UTT managed 'Investor Service Centers' at five up-country locations viz. Arusha, Mwanza, Mbeya, Dodoma and Zanzibar.
5. Remember, do not give cash to any person not being a designated collecting agent / authorized center and obtain payment receipt from the respective Collecting Office who has received your application with money.
6. Each application form must be accompanied by cash or Tzs Bankers Cheque / draft made payable to "Liquid Fund Collection". When an application is tendered with a banker's cheque/draft, the serial number should be mentioned on the back of the respective banker's cheque / draft.
7. The application form when completed should be submitted along with money to any office of the above mentioned authorized Collecting Centers.
8. Any alterations on the application form must be authenticated by the full signature of applicant.
9. All applications are irrevocable once submitted and are bound by the terms and conditions as outlined in the offer document.
10. After the initial offer, all eligible unit holders shall be issued with a 'Statement of Account' indicating the units allotted during initial sale.
11. The Unit Trust of Tanzania reserves the right to reject any application in whole or in part thereof. Any decision of the UTT about the eligibility or non-eligibility of a person to make an application under the scheme shall be final subject to approval by the Minister.
12. Remember, please ensure appending your signature on the application form, as unsigned application is liable to rejection by the Manager.



## PART - II: OFFER DETAILS:

### HIGHLIGHTS OF THE LIQUID FUND – AT A GLANCE

- **Objectives:** An open ended growth scheme, which seeks to provide alternative investment opportunity to investors who wish to park their surplus / idle funds for a short to medium term duration, at competitive rates. Low risk coupled with high level of liquidity remains the hallmark of this fund.
- **Options:** The scheme offers investment under Growth Option with an easy exit and no load charged.
- **Eligible investor(s):** Open for investment to Residents and Non Resident Citizens of Tanzania, which includes individual (including minors) as well as non-individual investors like Pension Funds, Banks, Government Organizations, Regulatory Authorities, Armed Forces, NGOs and other Corporate Bodies.
- **Minimum Investment Amount:** (a) Initial Investment = Tzs. 5 million; and (b) Subsequent Additional Investment = Tzs. 1 million.
- **Maximum Investment Amount:** There is no limit on the maximum amount to be invested by an investor.
- **Holding Basis:** Single OR joint (as opted by respective investors – applicable only to individual investors).
- **Face Value:** The initial (face) value of a unit is Tzs. 100/=
- **Issue Price:** Units shall be sold at issue price of Tzs. 100/= per unit during the Initial Sale Record commencing from 1st March, 2013 till 30th March, 2018.
- **Transparency:** The scheme will declare its 1st NAV not later than 10 business days from the initial sale closure date and thereafter on daily basis.
- **Liquidity:** Scheme units to remain open for repurchase on all business days (note: repurchase to commence after a cool off period not exceeding 10 business days from the initial sale closure date). Repurchase will be processed within 24 hours of the receipt of investor's request at UTT-HQ. Repurchase amount shall be directly credited into a unit holder's back a/c.
- **Nil Entry / Exit Load:** The scheme shall charge neither the entry load nor the exit load, thus investors shall be allowed to purchase as well as redeem units at the prevailing applicable NAV.
- **Investment Policy:** A minimum of 50% in Money Market instruments, and balance in debt securities.
- **Bench Mark:** 35 days T-bill rate
- **A Low Expense Ratio and easy to exit Scheme.**
- **Capital Protection:** Intent is to protect the portfolio from risks of change in value and to focus on earning interest income, without taking undue risks.

PART - B	
UNIT TRUST OF TANZANIA	
LIQUID FUND UNIT TRUST SCHEME	
ACKNOWLEDGEMENT SLIP	
(Collecting Agent should detach this portion and handover the same to the respective applicant for their records)	
Application Sr. No.	
First Applicant Name	
Application Amount (Tzs.)	
Stamp with date of Collecting Agent along with receiving official signature	



For more information,  
Please contact us through the following address:  
Unit Trust of Tanzania, 2nd Floor, Sukari House,  
Sokoine Drive / Ohio Street, P.O Box 14825, Dar es Salaam  
Tel: +255 22 2128460 Toll Free No's: 0754 800455 & 0754 800544 (voda to voda)  
0715 800455 & 0715 800544 (tigo to tigo)  
Fax No: +255 22 2137593 | Email: uwekezaji@utt-tz.org  
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