

CDS 1(b)

DEPOSITORY ACCOUNT APPLICATION FORM

CDS A/C NUMBER

COMPANY PARTICULAR'S

COMPANY CATEGORY	LOCAL:	FOREIGN:		
REGISTERED NAME				
REGISTRATION NUMBER				
DATE OF REGISTRATION				
POSTAL ADDRESS				
COUNTRY OF INCORPORATION				
EMAIL ADDRESS				
NATURE OF BUSSINESS				
MOBILE CONTACT				
TIN NUMBER				
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BANK DETAILS

BANK NAME	
ACCOUNT NAME	
ACCOUNT NUMBER	
SWIFT CODE	

SIGNATORIES DETAILS

FULL NAME	
NATIONALITY	
IDENTITY NUMBER	
NATIONALITY	
MOBILE CONTACT	
EMAIL ADDRESS	

FULL NAME	
NATIONALITY	
IDENTITY NUMBER	
NATIONALITY	
MOBILE CONTACT	
EMAIL ADDRESS	

FULL NAME	
NATIONALITY	
IDENTITY NUMBER	
NATIONALITY	
MOBILE CONTACT	
EMAIL ADDRESS	

DECLARATION:

We hereby apply to open and maintain a depository account at the CSDR Central Securities Depository System

We understand that the securities to be deposited in the CDS account to be opened pursuant to this application will be held by CSD & Registry Company Limited in Trust for me (us).

SIGNATURE OF THE SIGNATORIES

NAME	SIGNATURE	DATE

FOR OFFICIAL USE

This application was lodged through

Stamp and authorized signature of Authorized CDS operator

Date

NOTE: Authorized signatories of your company are required to complete the Individual CDS account forms.

TERMS AND CONDITIONS

This agreement is between the Customer and CSD and Registry Company Limited ("CSDR"). It sets out the terms for using CSDR's services. By signing this agreement and applying to open and use an account, the Customer confirms that they have read, understood, and accepted the terms, and agree to follow them.

1. DEFINITIONS

a) CDS - means the book-entry ledger system used by the CSD

b) *CSDR* - means the CSD & Registry Company Limited, a subsidiary company of the DSE which holds the definitive and authentic record of securities in immobilized or dematerialized form, to enable book-entry transfer of securities

c) CMSA – Capital Markets and Securities Authority, established under the Capital Markets and Securities Act

d) *DSE* –means the Dar es Salaam Stock Exchange Public Limited Company, authorized by the Authority

e) Securities- include debentures, stock, shares, bonds, or notes issued or proposed to be issued by a body corporate and any right, warrant or option in respect thereof; OR bonds or other loan instrument of the Government of Tanzania.

f) *Static details* – Personal information that rarely changes, such as your name, gender, age, or Identification number.

g) *We* – Refers to CSD and Registry Company Limited (CSDR), that provides automated clearing, delivery and settlement facilities in respect of transactions carried out at the Dar es salaam Stock Exchange PLC (DSE)

h) Services – Everything CSDR offers related to CDS Accounts, including opening and managing accounts, holding and transferring securities, registry, maintenance of ownership records, administration of shareholders registers and processing of corporate action.

 ${\rm i}{\rm)}$ Stock Broker-means a person who is a director of a member company or a partner of a member firm

 ${\rm j}{\rm)}$ LDM-means persons licensed by the Authority to deal in securities and admitted to CSD membership by the Board

k) Custodian -means a legal person that acts as a custodian of securities, regulated by the CMSA, admitted as a CSD Member of the DSE

I) MTP- mobile trading platform.

2. ACCOUNT OPENING

a) You can open a CDS account through a Broker, a Custodian Bank, or the DSE mobile trading platform (MTP).

c) You need to fill out the Account Opening Form and submit it, along with your Identification documents, to your Broker.

d) We will communicate with you using the contact details such as mobile numbers and emails you gave when opening the account.

e) Two or more people can open and run a joint account together.

 ${\bf f}{\bf)}$ If the account is for a minor, only a parent or legal guardian can open and manage it.

g) If the account is for a company or organization, the authorized representatives will open and manage it.

h) By opening and keeping an account with CSDR, you agree to follow the terms of this agreement.

3. DATA PROTECTION RIGHTS AND OBLIGATIONS

a) Your broker collects your personal information and shares it with CSDR through CSD system. This is done to provide you with services and to meet legal requirements. Your information may also be shared with regulators, law enforcement, and third-party service providers.

b) We take care to handle your personal data lawfully and fairly, and we won't share it without your permission unless the law allows or requires it.

c) We collect your information to review your application and, if approved, to give you the products and services described in this agreement.

d) You must provide all required personal information in our forms. If you don't, we may pause or stop your access to our services.

e) We may also use your information for research, statistics, or legal compliance.

 ${\rm f}{\rm)}$ Unless allowed by law, CSDR will only share your account details if you give written instructions.

g) We may need to send you important account messages as part of our service.

h) Under Tanzanian law, you have the following rights:

- Right to access your personal data;
- Right to be informed;
- Right to rectification your information;
- Right to request personal data to be transferred to another controller subject to certain conditions.
- Right to object to certain types of data processing, such as automated decision-making

These requests must be made in writing. Note that we might not be able to delete your information immediately due to legal retention rules, and it could affect our ability to offer you services.

i) If you have a complaint about how we handle your data, please contact us.

4. ACKNOWLEDGEMENT OF RISK

a) You understand that investing in securities involves risks, and you may gain or lose money.

b) CSDR is not responsible for any losses from your investment choices, legal mistakes, or any actions taken while following your instructions.

c) You should consult with qualified financial advisor before making any investment decisions.

5. GENERAL TERMS.

a) You agree to always give us your current contact information. We will use the most recent contact details you've given us for all official and legal communication.

b) Our services depend on technology like mobile networks, internet, and computer systems. You understand that sometimes service outages can happen. If there's an outage, you might not be able to access our services, transactions may be delayed, or some may not go through.

C) It's your responsibility to keep your contact details (like phone number and email) up to date. If not, you might miss important messages that could affect you legally.

d) It's your responsibility to maintain the confidentiality of your account credentials and for all activities that occur under your account.

e) "Business day" means any day that is not a Saturday, Sunday, or public holiday in Tanzania.

f) We may update these Terms and Conditions at any time. Any specific changes agreed between you and us (or your broker) must be in writing. Some changes may be subject to legal rules or restrictions. If you continue using our services after changes are made, you agree to the new terms automatically.

6. PRIVACY POLICY

By applying to open a CDS Account with CSDR, you acknowledge and agree that your personal information will be collected, stored, and processed in accordance with our Privacy Policy, which forms part of these Terms and Conditions. Our Privacy Policy explains how we collect, use, share, and protect your personal data, including your rights under applicable data protection laws in Tanzania. It covers matters such as; the types of personal information we collect (e.g., identification, contact, and financial details), how your data is used—for account setup, regulatory compliance, communication, and service provision. Please review our privacy policy to understand our practices available in our website.

7. TERMINATION

We may lock, terminate or suspend your account at any time if that the circumstances warrant it, by giving prior notice of the reasons for termination, including but not limited to;

- Breach of these Terms,
- Breach of DSE Rule 2022
- Breach of CSDR Rules, 2022
- Illegal activities associated with i.e. money laundering.
- Legal requirements

8. GOVERNING LAW

These Terms are governed by and constructed in accordance with the DSE Rules, CSDR Rules, and laws of Tanzania, without regard to its conflict of law principles.